Many-body theory of electronic transport in single-molecule heterojunctions

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A many-body theory of molecular junction transport based on nonequilibrium Green's functions is developed, which treats coherent quantum effects and Coulomb interactions on an equal footing. The central quantity of the many-body theory is the Coulomb self-energy matrix Σ_C of the junction. Σ_C is evaluated exactly in the sequential-tunneling limit, and the correction due to finite tunneling width is evaluated self-consistently using a conserving approximation based on diagrammatic perturbation theory on the Keldysh contour. Our approach reproduces the key features of both the Coulomb blockade and coherent transport regimes simultaneously in a single unified transport theory. As a first application of our theory, we have calculated the thermoelectric power and differential conductance spectrum of a benzenedithiol-gold junction using a semiempirical π -electron Hamiltonian that accurately describes the full spectrum of electronic excitations of the molecule up to 8–10 eV.

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I. INTRODUCTION

Electron transport in single-molecule junctions^{1–3} is of fundamental interest as a paradigm for *nanosystems far from equilibrium* and as a means to probe important chemical⁴ and biological⁵ processes, with myriad potential device applications.^{3,6,7} A general theoretical framework to treat the many-body problem of a single molecule coupled to metallic electrodes does not currently exist. Mean-field approaches based on density-functional theory^{8–14}—the dominant paradigm in quantum chemistry—have serious shortcomings^{15–17} because they do not account for important interaction effects such as Coulomb blockade.

An alternative approach is to solve the few-body molecular Hamiltonian exactly and treat electron hopping between molecule and electrodes as a perturbation. This approach has been used to describe molecular-junction transport in the sequential-tunneling regime, ^{15,18,19} but describing coherent quantum transport in this framework remains an open theoretical problem. Higher-order tunneling processes may be treated rigorously in the density-matrix formalism^{20,21} and the closely related superoperator Green's function approach, ^{22,23} but the expansion is typically truncated at second²³ or fourth order, ^{20,24} with the calculation of higher-order terms being prohibitively difficult. Furthermore, this approach has so far been limited to very small molecules, and it is unclear what the prospects are for the application to complex molecules of interest for potential device applications.

In this paper, we develop a many-body theory of molecular junction transport based on nonequilibrium Green's functions^{25–27} (NEGF) in order to utilize physically motivated approximations that sum terms of all orders. The junction Green's functions are calculated exactly in the sequential-tunneling limit, and the corrections to the electron self-energy due to finite tunneling width are included via Dyson-Keldysh equations. The *tunneling self-energy* is calculated exactly using the equations-of-motions method, ^{26,28}

while the correction to the *Coulomb self-energy* is calculated using diagrammatic perturbation theory. In this way, tunneling processes are included to infinite order, meaning that any approximation utilized is a truncation in the physical processes considered rather than in the order of those processes.

Our approach reproduces the key features of both the Coulomb blockade and coherent transport regimes simultaneously in a single unified transport theory. Nonperturbative effects of intramolecular correlations are included, which are necessary to accurately describe the highest occupied molecular orbital (HOMO)-lowest unoccupied molecular orbital (LUMO) gap, essential for a quantitative theory of transport.

As a first application of our many-body transport theory, we investigate the benchmark system of benzene(1,4)dithiol (BDT) with gold electrodes. Two key parameters determining the lead-molecule coupling—the tunneling width Γ and the chemical potential offset $\Delta\mu$ —are fixed by comparison to linear-response measurements of the thermoelectric power^{29,30} and electrical conductance.³¹ The nonlinear junction response is then calculated. The differential conductance spectrum of the junction exhibits an irregular "molecular diamond" structure analogous to the regular Coulomb diamonds³² observed in quantum dot transport experiments, as well as clear signatures of coherent quantum transport—such as transmission nodes due to destructive interference—and of resonant tunneling through molecular excited states.

This paper is organized as follows. A detailed derivation of the many-body theory of molecular junction transport is presented in Sec. II. Two useful approximate solutions for the nonequilibrium Coulomb self-energy are given in Secs. II D 1 and II D 2. The details of the model used to describe a molecular heterojunction consisting of a π -conjugated molecule covalently bonded to metallic electrodes are presented in Sec. III. The electric and thermoelectric response of a BDT-Au junction are calculated in Sec. IV and compared to experimental results. A discussion and conclusions are presented in Sec. V.

II. NONEQUILIBRIUM MANY-BODY FORMALISM

A. Molecular junction Hamiltonian

The Hamiltonian of a junction consisting of a molecule coupled to several metallic electrodes may be written as

$$H_{\text{junction}} = H_{\text{mol}} + H_{\text{leads}} + H_T.$$
 (1)

The molecular Hamiltonian can be formally divided into one-body and two-body terms $H_{\rm mol} = H_{\rm mol}^{(1)} + H_{\rm mol}^{(2)}$. In general, neglecting spin-orbit coupling, the one-body term can be written as

$$H_{\text{mol}}^{(1)} = \sum_{n,m,\sigma} [H_{\text{mol}}^{(1)}]_{n\sigma,m\sigma} d_{n\sigma}^{\dagger} d_{m\sigma}, \tag{2}$$

where $d_{n\sigma}^{\dagger}$ creates an electron of spin σ on the atomic orbital n of the molecule and $[H_{\rm mol}^{(1)}]$ is a Hermitian matrix. For simplicity, the atomic basis orbitals are taken to be orthonormal so that the anticommutator $\{d_{n\sigma}^{\dagger}, d_{m\sigma'}\} = \delta_{nm}\delta_{\sigma\sigma'}$. Extension to nonorthogonal bases is straightforward in principle.

In a localized orthonormal basis, a general spin-rotation invariant two-body (e.g., Coulomb) interaction has the form³³

$$H_{\text{mol}}^{(2)} = \frac{1}{2} \sum_{n,m} U_{nm} \rho_n \rho_m, \tag{3}$$

where $\rho_n = \Sigma_{\sigma} d_{n\sigma}^{\dagger} d_{n\sigma}$. Values of U_{nm} for π -conjugated systems^{34,35} are discussed in Sec. III.

Because of their continuous and almost featureless density of states around the Fermi energy, the macroscopic metallic electrodes, labeled $\alpha \in [1, ..., M]$, may be modeled as noninteracting Fermi gases,

$$H_{\text{leads}} = \sum_{\alpha=1}^{M} \sum_{\substack{k \in \alpha \\ \sigma}} \epsilon_{k\sigma} c_{k\sigma}^{\dagger} c_{k\sigma}, \tag{4}$$

where $c_{k\sigma}^{\dagger}$ creates an electron of energy $\epsilon_{k\sigma}$ in lead α . The electrostatic interaction of molecule and electrodes due to the electric dipoles formed at each molecule-electrode interface may be included in $H_{\rm mol}^{(1)}$, as discussed in Sec. III. Tunneling of electrons between the molecule and the electrodes is described by the Hamiltonian

$$H_T = \sum_{\alpha=1}^{M} \sum_{k \in \alpha} \sum_{n,\sigma} (V_{nk} d_{n\sigma}^{\dagger} c_{k\sigma} + \text{H.c.}).$$
 (5)

B. Nonequilibrium Green's functions

The electronic system (1) of molecule plus electrodes has an infinite Hilbert space. A formal simplification of the problem is obtained through the use of the Green's functions^{25,26}

$$G_{n\sigma,m\sigma'}(t) = -i\theta(t)\langle \{d_{n\sigma}(t), d_{m\sigma'}^{\dagger}(0)\}\rangle, \tag{6}$$

$$G_{n\sigma,m\sigma'}^{<}(t) = i\langle d_{m\sigma'}^{\dagger}(0)d_{n\sigma}(t)\rangle, \tag{7}$$

known as the retarded and Keldysh "lesser" Green's functions, respectively. Steady-state physical observables in the

molecular transport junction can be expressed in terms of G and $G^{<}$. Transient effects^{36–39} are not considered in this paper. In this section, an exact Dyson equation for G is derived, along with a corresponding Keldysh equation for $G^{<}$.

Setting $\hbar = 1$, the retarded Green's function obeys the equation of motion²⁶

$$i\frac{\partial}{\partial t}G_{n\sigma,m\sigma'}(t) = \delta(t)\,\delta_{nm}\delta_{\sigma\sigma'} - i\,\theta(t)$$

$$\times \langle \{[d_{n\sigma}(t), H_{\text{junction}}], d_{m\sigma'}^{\dagger}(0)\}\rangle. \tag{8}$$

For the purposes of this paper, only the spin-diagonal term $G_{n\sigma,m\sigma}$ is needed [cf. Eqs. (19) and (20)]. Evaluating the commutators $[d_{n\sigma},H_{\rm mol}]$ and $[d_{n\sigma},H_T]$ and noting that $[d_{n\sigma},H_{\rm leads}]=0$, Eq. (8) becomes

$$i\frac{\partial}{\partial t}G_{n\sigma,m\sigma}(t) = \delta(t)\,\delta_{nm} + \sum_{n'} \left[H_{\text{mol}}^{(1)}\right]_{n\sigma,n'\sigma}G_{n'\sigma,m\sigma}(t)$$

$$+ \sum_{\alpha} \sum_{k \in \alpha} V_{nk}g_{k\sigma,m\sigma}(t) + \sum_{n'} U_{nn'}G_{n',n\sigma m\sigma}^{(2)}(t),$$

$$(9)$$

where

$$g_{k\sigma,m\sigma'}(t) = -i\theta(t)\langle\{c_{k\sigma}(t),d_{m\sigma'}^{\dagger}(0)\}\rangle$$
 (10)

and

$$G_{n',n\sigma m\sigma'}^{(2)}(t) = -i\,\theta(t)\langle\{\rho_{n'}(t)d_{n\sigma}(t),d_{m\sigma'}^{\dagger}(0)\}\rangle. \tag{11}$$

The equation of motion for $g_{k\sigma,m\sigma}(t)$ is

$$i\frac{\partial}{\partial t}g_{k\sigma,m\sigma}(t) = -i\theta(t)\langle\{[c_{k\sigma}(t),H_{\text{junction}}],d_{m\sigma}^{\dagger}(0)\}\rangle.$$
 (12)

Evaluating the commutators $[c_{k\sigma}, H_T]$ and $[c_{k\sigma}, H_{\text{leads}}]$ and noting that $[c_{k\sigma}, H_{\text{mol}}] = 0$, Eq. (12) becomes

$$i\frac{\partial}{\partial t}g_{k\sigma,m\sigma}(t) = \epsilon_{k\sigma}g_{k\sigma,m\sigma}(t) + \sum_{n'} V_{n'k}^*G_{n'\sigma,m\sigma}(t). \tag{13}$$

Fourier transforming Eqs. (9) and (13) into the energy domain and eliminating $g_{k\sigma,m\sigma}(E)$, one arrives at the following matrix equation for G(E):

$$[1E - H_{\text{mol}}^{(1)} - \Sigma_T(E)]G(E) = 1 + UG^{(2)}(E), \qquad (14)$$

where the retarded tunneling self-energy matrix is

$$[\Sigma_T(E)]_{n\sigma,m\sigma'} = \delta_{\sigma\sigma'} \sum_{\alpha} \sum_{k \in \alpha} \frac{V_{nk} V_{mk}^*}{E - \epsilon_{k\sigma} + i0^+}.$$
 (15)

Equation (14) may be recast in the form of Dyson's equation

$$G(E) = [1E - H_{\text{mol}}^{(1)} - \Sigma_T(E) - \Sigma_C(E)]^{-1}$$
 (16)

via the ansatz $UG^{(2)}(E) \equiv \Sigma_C(E)G(E)$, which defines⁴⁰ the retarded *Coulomb self-energy* matrix $\Sigma_C(E)$, the central quantity of the many-body theory, which must be determined via an appropriate approximation. A prescription to compute Σ_C is given below in Secs. II C and II D. Equation (16) is a general formal result, independent of the choice of basis, and

is the starting point for our theoretical analysis.

For nonequilibrium problems, the Keldysh lesser selfenergy and Green's function are also needed. $G^{<}$ is determined by the Keldysh equation²⁶

$$G^{<}(E) = G\Sigma^{<}G^{\dagger} + (1 + G\Sigma)G^{<}_{\text{mol}}(1 + \Sigma^{\dagger}G^{\dagger}),$$
 (17)

where $G^{\dagger}(E)$ and $\Sigma^{\dagger}(E)$ are the advanced Green's function and self-energy, respectively, $\Sigma^{<} = \Sigma_{T}^{<} + \Sigma_{C}^{<}$, and $G_{\text{mol}}^{<} = \lim_{\Sigma_{T} \to 0} G^{<}$. The second term on the right-hand side of Eq. (17) is infinitessimal and can be neglected, provided that all of the molecular resonances have a finite broadening due to the lead-molecule coupling.

The lesser tunneling self-energy is

$$[\Sigma_T^{<}(E)]_{n\sigma,m\sigma'} = i \sum_{\alpha} f_{\alpha}(E) [\Gamma^{\alpha}(E)]_{n\sigma,m\sigma'}, \qquad (18)$$

where $f_{\alpha}(E) = \{1 + \exp[(E - \mu_{\alpha})/k_B T_{\alpha}]\}^{-1}$ is the Fermi-Dirac distribution for lead α , and

$$[\Gamma^{\alpha}(E)]_{n\sigma,m\sigma'} = 2\pi \delta_{\sigma\sigma'} \sum_{k \in \alpha} V_{nk} V_{mk}^* \delta(E - \epsilon_{k\sigma}) \qquad (19)$$

is the tunneling-width matrix for lead α .

Once the Green's functions are known, the relevant physical observables can be calculated. For example, the current flowing into lead α is given by²⁵

$$I_{\alpha} = \frac{ie}{h} \int dE \operatorname{Tr} \{ \Gamma^{\alpha}(E) \{ G^{<}(E) + f_{\alpha}(E) [G(E) - G^{\dagger}(E)] \} \},$$
(20)

where I_{α} denotes the expectation value of the current operator.

C. Sequential-tunneling limit

In the limit of infinitessimal lead-molecule coupling $\Sigma_T/k_BT \rightarrow 0$, coherent superpositions of different energy eigenstates of the molecule can be neglected and the junction Green's function becomes

$$\lim_{\Sigma_{T} \to 0} [G(E)]_{n\sigma,m\sigma} = [G_{\text{mol}}(E)]_{n\sigma,m\sigma}$$

$$= \sum_{\nu,\nu'} [\mathcal{P}(\nu) + \mathcal{P}(\nu')] \frac{\langle \nu | d_{n\sigma} | \nu' \rangle \langle \nu' | d_{m\sigma}^{\dagger} | \nu \rangle}{E - E_{\nu'} + E_{\nu} + i0^{+}},$$
(21)

where $|\nu\rangle$ and $|\nu'\rangle$ are many-body eigenstates of the isolated molecule satisfying $H_{\rm mol}|\nu\rangle = E_{\nu}|\nu\rangle$, etc. The nonequilibrium probabilities $\mathcal{P}(\nu)$ can be determined by solving a system of semiclassical rate equations for sequential tunneling. ^{15,18,19,41} For steady-state transport, they satisfy the principle of detailed balance (see Fig. 1),

$$\mathcal{P}(\nu) \sum_{\alpha} \widetilde{\Gamma}_{\alpha}^{\nu\nu'} f_{\alpha}(E_{\nu'} - E_{\nu}) = \mathcal{P}(\nu') \sum_{\alpha} \widetilde{\Gamma}_{\alpha}^{\nu\nu'} [1 - f_{\alpha}(E_{\nu'} - E_{\nu})].$$

Here the rate constants are given by Fermi's golden rule as

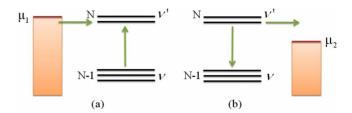


FIG. 1. (Color online) A schematic of detailed balance. The left-hand and right-hand sides of Eq. (22) are represented in (a) and (b), respectively.

$$\widetilde{\Gamma}_{\alpha}^{\nu\nu'} = \text{Tr}\{\Gamma^{\alpha}(E_{\nu'} - E_{\nu})C^{\nu\nu'}\},\tag{23}$$

where $\Gamma^{\alpha}(E)$ is given by Eq. (19) and

$$[C^{\nu\nu'}]_{n\sigma,m\sigma'} = \langle \nu | d_{n\sigma} | \nu' \rangle \langle \nu' | d_{m\sigma'}^{\dagger} | \nu \rangle \tag{24}$$

are many-body matrix elements. $^{42-44}$ From the normalization of the many-body wave functions, the total resonance width $\tilde{\Gamma}^{\nu\nu'} = \Sigma_{\alpha} \tilde{\Gamma}^{\nu\nu'}_{\alpha}$ scales as $\sim \Sigma_{\alpha} \mathrm{Tr} \{ \Gamma^{\alpha} \} / N$, where N is the number of atomic orbitals in the molecule. For strongly correlated systems, there is an additional exponential suppression of Eq. (24) as $N \rightarrow \infty$ due to the orthogonality catastrophe. 44,45

Linear-response transport is determined by the equilibrium Green's functions. In equilibrium, the solution of the set of Eqs. (22) reduces to

$$\mathcal{P}(\nu) = e^{-\beta(E_{\nu} - \mu N_{\nu})} / \mathcal{Z}, \tag{25}$$

where \mathcal{Z} is the grand partition function of the molecule at inverse temperature β and chemical potential μ .

Equation (21) implicitly defines the Coulomb self-energy matrix $\Sigma_C^{(0)}$ in the sequential-tunneling limit via

$$G_{\text{mol}}(E) = [1E - H_{\text{mol}}^{(1)} - \Sigma_C^{(0)}(E)]^{-1}.$$
 (26)

 $\Sigma_C^{(0)}$ is the high-temperature limit of the Coulomb self-energy (i.e., the limit $k_BT/\mathrm{Tr}\{\Gamma^\alpha\} \gg 1$) and describes intramolecular correlations and charge quantization effects (Coulomb blockade away from resonance). The nonperturbative treatment of intramolecular correlations provided by the exact diagonalization of H_{mol} in Eq. (21) allows for an accurate description of the HOMO-LUMO gap, which is essential for a quantitative theory of transport.

D. Correction to the Coulomb self-energy

In general, the Coulomb self-energy matrix $\Sigma_C = \Sigma_C^{(0)} + \Delta \Sigma_C$, where $\Delta \Sigma_C$ describes the change in the Coulomb self-energy due to lead-molecule coherence emerging at temperatures $k_B T \lesssim \text{Tr}\{\Gamma^{\alpha}\}$. Using this decomposition of the Coulomb self-energy, Dyson's Eq. (16) can be rewritten in the following useful form:

$$G^{-1}(E) = G_{\text{mol}}^{-1}(E) - \Sigma_T - \Delta \Sigma_C,$$
 (27)

where the self-energy terms $\Sigma_T + \Delta \Sigma_C$ describe the effects of finite tunneling width. Σ_T is given by Eq. (15). Here we point out that $\Delta \Sigma_C$ —unlike $\Sigma_C^{(0)}$ —can be evaluated perturbatively using diagrammatic techniques on the Keldysh time contour

(22)

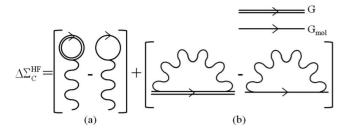


FIG. 2. The correction to the Coulomb self-energy $\Delta\Sigma_C$ is given in the self-consistent Hartree-Fock approximation by the sum of (a) the Hartree (direct) term and (b) the Fock (exchange) term. The wavy line represents the Coulomb interaction, which has the form $U_{nm}\delta(\tau-\tau')$ on the Keldysh time contour.

(cf. Fig. 2). Such a perturbative approach is valid, in principle, at temperature/bias voltages satisfying $\max\{T, eV/k_B\} > T_K$, where T_K is the Kondo temperature⁴⁶—or when there is no unpaired electron on the molecule (such as within the HOMO-LUMO gap of conjugated organic molecules).

 $\Sigma_T + \Delta \Sigma_C$ may be thought of as the response of the junction to turning on the tunneling coupling Γ^{α} . A subtlety in the perturbative evaluation of $\Delta\Sigma_C$ is that the diagrams determining the Coulomb self-energy are typically formulated⁴⁰ in terms of the Green's functions of the noninteracting system $G^{(0)}(E) = (1E - H_{\rm mol}^{(1)} - \Sigma_T)^{-1}$ and $G_{\rm mol}^{(0)}(E) = (1E - H_{\rm mol}^{(1)} + i0^+)^{-1}$, while the response of the junction is determined by the full Green's function G(E) [cf. Eq. (20)]. Evaluating the diagrams in Fig. 2 using $G^{(0)}(E)$ and $G^{(0)}_{\rm mol}(E)$ would yield a correction to the Coulomb self-energy with a pole structure unrelated to that of $\Sigma_C^{(0)}$ so that adding the two together would not yield a physically meaningful result. Our strategy is thus to calculate $\Delta\Sigma_C$ by reformulating the terms in the perturbative expansion in terms of the full Green's function G(E) via appropriate resummations. This procedure is in general nontrivial, but the result for the Hartree-Fock (HF) correction is given in Sec. II D 2 below based on physical arguments. Higher-order self-energy diagrams can be included in a similar fashion. Electron-phonon coupling^{27,47–51} can also be included in the many-electron theory via the self-energy terms $\Sigma_{\text{e-ph}} + \Delta \Sigma_C^{\text{e-ph}}$, where $\Sigma_{\text{e-ph}}$ is given by the usual self-energy diagrams, $^{27,48-51}$ and $\Delta \Sigma_C^{\text{e-ph}}$ is the corresponding correction to the Coulomb self-energy.

1. Elastic cotunneling approximation: $\Sigma = \Sigma_T + \Sigma_C^{(0)}$

Far from transmission resonances and for $T \gg T_K$, $\Delta \Sigma_C$ can be neglected. This is the limit of *elastic cotunneling*. 52,53 The Green's functions are given by Eqs. (16) and (17) with $\Sigma_C = \Sigma_C^{(0)}$. Note that $[\Sigma_C^{(0)}]^<$ does not make a finite contribution to Eq. (17) when Σ_T is finite. The full NEGF current expression (20) then reduces to the multiterminal Büttiker formula⁵⁴

$$I_{\alpha} = \frac{e}{h} \sum_{\beta=1}^{M} \int_{-\infty}^{\infty} dE T_{\alpha\beta}(E) [f_{\beta}(E) - f_{\alpha}(E)], \qquad (28)$$

where the transmission function is given by⁵⁵

$$T_{\alpha\beta}(E) = \text{Tr}\{\Gamma^{\alpha}(E)G(E)\Gamma^{\beta}(E)G^{\dagger}(E)\}. \tag{29}$$

The elastic cotunneling approximation is a *conserving* approximation—current is conserved [cf. Eq. (28)] and the spectral function obeys the usual sum rule.

2. Self-consistent Hartree-Fock correction: $\Sigma_C = \Sigma_C^{(0)} + \Delta \Sigma_C^{HF}$

In the HF approximation, the retarded Coulomb selfenergy matrix is real and is given by

$$\left[\Sigma_{C}^{\mathrm{HF}}\right]_{n\sigma,m\sigma'} = \delta_{\sigma\sigma'} \left[\delta_{nm} \sum_{n'} U_{nn'} \langle \rho_{n'} \rangle - U_{nm} \langle d_{m\sigma}^{\dagger} d_{n\sigma} \rangle \right], \tag{30}$$

and $[\Sigma_C^{\text{HF}}]^{<}$ =0. In general,

$$\langle d_{n\sigma}^{\dagger} d_{m\sigma'} \rangle = -\frac{i}{2\pi} \int_{-\infty}^{\infty} dE [G^{<}(E)]_{m\sigma',n\sigma}$$
 (31)

and

$$\lim_{\Sigma_T \to 0} \langle d_{n\sigma}^{\dagger} d_{m\sigma'} \rangle = \sum_{\nu} \mathcal{P}(\nu) \langle \nu | d_{n\sigma}^{\dagger} d_{m\sigma'} | \nu \rangle. \tag{32}$$

The Hartree-Fock correction is then $\Delta \Sigma_C^{\rm HF} \equiv \Sigma_C^{\rm HF} - \Sigma_C^{\rm HF}|_{\Sigma_T \to 0}$. The Feynman diagrams representing this correction are shown in Fig. 2. A self-consistent solution of Eqs. (17), (27), and (30)–(32) yields a conserving approximation in which the junction current is again given by Eq. (28).

The use of the interacting Green's functions G and $G_{\rm mol}$ in the evaluation of the Hartree self-energy is clearly justified on physical grounds, since this yields the classical electrostatic potential due to the actual nonequilibrium charge distribution on the molecule. The direct (Hartree) and exchange (Fock) contributions to $\Delta\Sigma_C$ must be treated on an equal footing in order to cancel the unphysical self-interaction, justifying the use of the same interacting Green's functions in the evaluation of the exchange self-energy. The diagrams of Fig. 2 would be quite complex if expressed in terms of the noninteracting Green's functions because both G and $G_{\rm mol}$ involve $\Sigma_C^{(0)}$, which includes all possible combinations of Coulomb lines and intramolecular propagators.

The self-consistent Hartree-Fock correction for a diatomic molecule is shown in Fig. 3. The parameters were chosen so that the resonance width $\sim \sum_{\alpha} \text{Tr}\{\tilde{\Gamma}^{\alpha}\}/N=0.2 \text{ eV}$, where N is the number of atomic orbitals in the molecule. The elements of the matrix $\Delta\Sigma_C^{\rm HF}$ are largest near a transmission resonance but vanish on resonance. This behavior can be understood by considering the molecular correlation functions shown in Fig. 4. The inclusion of the tunneling self-energy without the corresponding correction to the Coulomb self-energy leads to a charge imbalance on the molecule near resonance. This in turn leads to a Hartree correction which tends to counteract the charge imbalance. A corresponding behavior is found for the exchange correction and off-diagonal correlation function. A non-self-consistent calculation would yield a much larger correction $\Delta\Sigma_{C}^{\mathrm{HF}}$, indicating the important role of screening. It should be pointed out that a treatment of screening in linear response is not adequate near resonance.

As shown in Fig. 5, the transmission peaks and nodes are not shifted by the self-consistent HF correction and the transmission phase is not changed significantly. Transport proper-

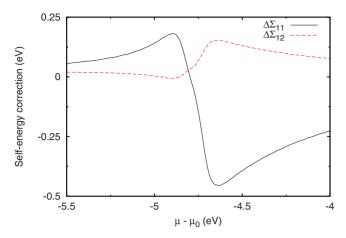


FIG. 3. (Color online) Self-consistent Hartree-Fock correction to the Coulomb self-energy matrix of a hypothetical C-C diatomic molecule versus lead chemical potential, shown in the vicinity of the HOMO resonance. Here $\mu_0 = (\varepsilon_{\rm HOMO} + \varepsilon_{\rm LUMO})/2$ is the chemical potential of the isolated molecule and $T = 300\,$ K. The molecular junction parameters $U_{11} = U_{22} = 8.9\,$ eV, $U_{12} = 4.4\,$ eV, $U_{12} = 2.0\,$ eV, and $U_{12} = 1.0\,$ eV were used (see Sec. III).

ties [cf. Eqs. (28) and (29)] are therefore qualitatively better described in the elastic cotunneling approximation than are the correlation functions, and the approximation is quantitatively accurate in the cotunneling regime $|\mu-\mu_{\rm res}| > \Gamma$, $\max\{T, eV/k_{\rm R}\} > T_{\rm K}$.

The tendency toward charge quantization near resonance is significantly increased by the self-consistent HF correction

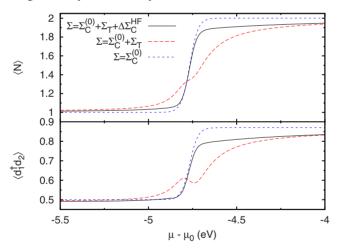


FIG. 4. (Color online) Equilibrium correlation functions near the HOMO resonance for a diatomic molecule (same parameters as in Fig. 3). Top: total molecular charge $\langle N \rangle$ in three different approximations: $\Sigma = \Sigma_C^{(0)}$ (isolated molecule in the grand canonical ensemble); $\Sigma = \Sigma_C^{(0)} + \Sigma_T$ (elastic cotunneling approximation); and $\Sigma = \Sigma_C^{(0)} + \Sigma_T + \Delta \Sigma_C^{\rm HF}$ (self-consistent HF correction). The charging step is broadened in the elastic cotunneling approximation, compared to that of the isolated molecule, and acquires a "knee" near resonance. In the self-consistent HF approximation, the charge imbalance $\Delta N = \langle N \rangle - \langle N \rangle|_{\Sigma_T \to 0}$ is strongly screened near resonance, leading to a charging step as steep as that of the isolated molecule, but asymptotically approaches the elastic cotunneling result away from resonance. Bottom: the correlation function $\langle d_{1\sigma}^{\dagger} d_{2\sigma} \rangle$ in the same three approximations.

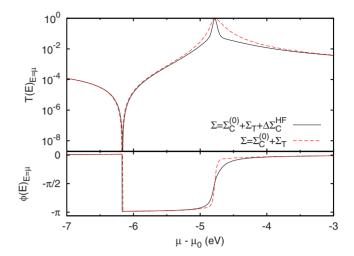


FIG. 5. (Color online) Transmission probability and phase calculated with and without the self-consistent HF correction. Note that the transmission peaks and nodes are not shifted by $\Delta\Sigma_C^{\rm HF}$ but the width of the transmission resonance is reduced asymmetrically. (Note: linestyles reversed in lower panel.)

as shown in Fig. 4. The steepness of the self-consistent charging step is limited only by thermal broadening. This result is consistent with previous theoretical studies^{56–58} of Coulomb blockade in metal islands and quantum dots but inconsistent with the behavior of the Anderson model,⁴⁶ where singular spin fluctuations modify this generic behavior

III. MOLECULAR HETEROJUNCTION MODEL

Heterojunctions formed from π -conjugated molecules are of particular interest both because of their relevance for nanotechnology^{3,6} and their extensive experimental characterization. $^{1-3}$ A semiempirical π -electron Hamiltonian^{6,34,35} can be used to model the electronic degrees of freedom most relevant for transport,

$$H_{\text{mol}} = \sum_{n,\sigma} \varepsilon_n d_{n\sigma}^{\dagger} d_{n\sigma} - \sum_{n,m,\sigma} (t_{nm} d_{n\sigma}^{\dagger} d_{m\sigma} + \text{H.c.})$$
$$+ \sum_{n,m} \frac{U_{nm}}{2} Q_n Q_m, \tag{33}$$

where $d_{n\sigma}^{\dagger}$ creates an electron of spin σ in the π orbital of the nth carbon atom, ε_n is the atomic orbital energy, and t_{nm} is the hopping matrix element between orbitals n and m. Off-diagonal interaction terms³³ arising from nonzero differential overlap of neighboring atomic orbitals are negligible in π -conjugated systems.⁵⁹ In the π -electron theory, the effect of different side groups is included through shifts of the orbital energies ε_n . The effect of substituents (e.g., thiol groups) used to bond the leads to the molecule can be included^{60,61} in the tunneling matrix elements V_{nk} [cf. Eq. (5)].

The effective charge operator for orbital n is 6,44

$$Q_n = \sum_{\sigma} d_{n\sigma}^{\dagger} d_{n\sigma} - \sum_{\alpha} C_{n\alpha} \mathcal{V}_{\alpha} / e - 1, \qquad (34)$$

where $C_{n\alpha}$ is the capacitive coupling between orbital n and lead α , e is the electron charge, and \mathcal{V}_{α} is the voltage on lead α . The lead-molecule capacitances are elements of a full capacitance matrix C, which also includes the intramolecular capacitances determined by the relation $C_{nm} = e^2 [U^{-1}]_{nm}$. The values $C_{n\alpha}$ are determined by the zero-sum rules required for gauge invariance⁶²

$$\sum_{m} C_{nm} + \sum_{\alpha=1}^{M} C_{n\alpha} = 0,$$
 (35)

and by the geometry of the junction (e.g., $C_{n\alpha}$ inversely proportional to lead-orbital distance).

The effective interaction energies for π -conjugated systems can be written as^{34,35}

$$U_{nm} = \delta_{nm} U_0 + (1 - \delta_{nm}) \frac{U_0}{\epsilon \sqrt{1 + \alpha (R_{nm}/\mathring{A})^2}},$$
 (36)

where U_0 is the on-site Coulomb repulsion, $\alpha = (U_0/14.397 \text{ eV})^2$, and R_{nm} is the distance between orbitals n and m. The phenomenological dielectric constant ϵ accounts for screening due to both the σ electrons and any environmental considerations such as nonevaporated solvent. With an appropriate choice of the parameters t_{nm} , U_0 , and ϵ , the *complete spectrum of electronic excitations* up to 8–10 eV of the molecules benzene, biphenyl, and *trans*-stilbene in the gas phase can be reproduced with high accuracy by exact diagonalization of Eq. (33). An accurate description of excited states is essential to model transport far from equilibrium. Larger conjugated organic molecules can also be modeled 34,63 via Eqs. (33) and (36).

 σ orbitals can also be included in Eq. (33) as additional energy bands, and the resulting multiband extended Hubbard model can be treated using the same NEGF formalism sketched above. Tunneling through the σ orbitals may be important in small molecules, ^{64,65} especially in cases where quantum interference leads to a transmission node in the π -electron system.

The biggest uncertainty in modeling single-molecule heterojunctions is the lead-molecule coupling. ⁶⁶ For this reason, we take the two most uncertain quantities characterizing lead-molecule coupling—the tunneling width Γ and the chemical potential offset $\Delta\mu$ of isolated molecule and metal electrodes—as phenomenological parameters to be determined by fitting to experiment. In the broadband limit ⁶⁷ for the metallic electrodes, and assuming that each electrode is covalently bonded to a single carbon atom of the molecule, the tunneling-width matrix reduces to a single constant, $\Gamma^{\alpha}_{nm}(E) = \Gamma_{\alpha} \delta_{na} \delta_{ma}$, where a is the orbital connected to electrode α . Typical estimates ^{60,61,68} indicate $\Gamma \lesssim 1$ eV for organic molecules coupled to gold contacts via thiol groups.

IV. BENZENE(1,4)DITHIOL JUNCTION

As a first application of our many-body theory of molecular junction transport, we consider the benchmark system of

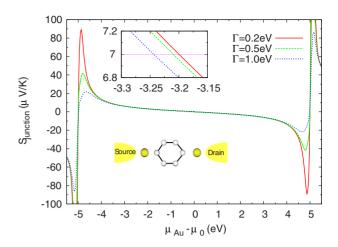


FIG. 6. (Color online) Thermoelectric power of a BDT-Au junction at T=300 K as a function of the lead-molecule chemical potential mismatch for three different tunneling widths. Comparison to the experimental value (Ref. 30) $7.0 \pm 0.2 \ \mu\text{V/K}$ fixes $\mu_{\text{Au}} = \mu_0 - (3.22 \pm 0.04)$ eV (see the inset showing close-up of experimentally relevant parameter range).

benzene(1,4)dithiol (BDT) with two gold leads. ^{29–31,69–73} The Hamiltonian parameters for benzene are ³⁵ U_0 =8.9 eV, ϵ = 1.28, and t_{nm} =2.68 eV for n and m nearest neighbors and t_{nm} =0 otherwise. We consider a symmetric junction (symmetric capacitive couplings and Γ_1 = Γ_2 = Γ) at room temperature (T=300 K).

A. Linear electric and thermoelectric junction response

Thermoelectric effects 29,30,74 provide important insight into the transport mechanism in single-molecule junctions but are particularly sensitive to correlations, 75,76 calling into question the applicability of single-particle or mean-field theory. We can now investigate thermoelectric effects in molecular heterojunctions using many-body theory. The thermopower S of a molecular junction is obtained by measuring the voltage $\Delta \mathcal{V} \equiv -S\Delta T$ created across an open junction in response to a temperature differential ΔT . In general, S can be calculated by taking the appropriate linear-response limit of Eq. (20), which includes both elastic and inelastic processes. However, for purely elastic transport (cf. Secs. II D 1 and II D 2), Eq. (28) can be used to derive the well-known result 77,78

$$S(\mu, T) = -\frac{1}{eT} \frac{\int_{-\infty}^{\infty} T_{12}(E) \left(-\frac{\partial f}{\partial E}\right) (E - \mu) dE}{\int_{-\infty}^{\infty} T_{12}(E) \left(-\frac{\partial f}{\partial E}\right) dE}.$$
 (37)

Thermopower measurements^{29,30} provide a means to determine⁷⁴ the lead-molecule chemical potential mismatch $\Delta\mu = \mu_{\rm Au} - \mu_0$, where $\mu_0 = (\epsilon_{\rm HOMO} + \epsilon_{\rm LUMO})/2$ and $\epsilon_{\rm HOMO}$ ($\epsilon_{\rm LUMO}$) is the HOMO (LUMO) energy level. Figure 6 shows the thermopower of a BDT junction as a function of $\Delta\mu$ calculated from Eqs. (29) and (37) in the elastic cotunneling approximation. As pointed out by Paulsson and Datta,⁷⁴ S is nearly independent of Γ away from the transmission reso-

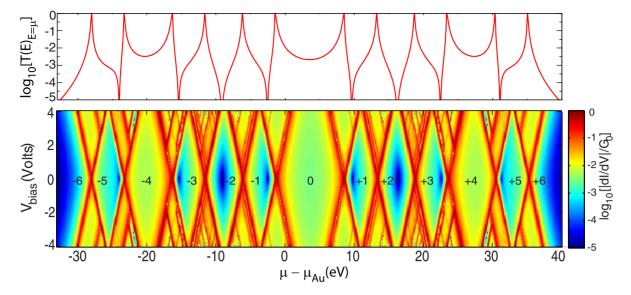


FIG. 7. (Color online) Linear-response conductance versus chemical potential (top panel) and differential conductance versus chemical potential and bias voltage (bottom panel) for a benzene(1,4)dithiol-Au junction. The calculation was carried out within the elastic cotunneling approximation using Eq. (28) with $\Gamma_1 = \Gamma_2 = 0.63$ eV and T = 300 K. Here $G_0 = 2e^2/h$ is the conductance quantum. Note the asymmetric Fano-like line shapes and the transmission nodes (arising from destructive interference) in the top panel. The numbers within the central diamonds of the bottom panel indicate the quantized charge on the molecule (relative to neutral benzene). The full spectrum is shown for completeness.

nances, allowing $\Delta\mu$ to be determined directly by comparison to experiment. The thermopower of a BDT-Au junction was recently measured by Baheti *et al.*, ³⁰ who obtained the result $S=(7.0\pm0.2)~\mu\text{V/K}$. Equating this experimental value with the calculated thermopower shown in Fig. 6, we find that $-3.25 \leq \mu_{\text{Au}} - \mu_0 \leq -3.15~\text{eV}$ over a broad range of Γ values. The Fermi level of gold thus lies about 1.8 eV above the HOMO resonance, validating the notion that transport in these junctions is hole dominated. Nonetheless, $\mu_{\text{Au}} - \epsilon_{\text{HOMO}}$ is sufficiently large that the elastic cotunneling approximation is well justified.

The only other free parameter in the molecular junction model is the tunneling width Γ , which can be found by matching the linear-response conductance to experiment. Although there is a large range of experimental values, ¹⁴ the most reproducible and lowest resistance contacts were obtained by Xiao *et al.*, ³¹ who reported a single-molecule conductance value of $0.011G_0$. This fixes Γ =(0.63 ± 0.02) eV with $\mu_{\rm Au}$ - μ_0 =- 3.22 ± 0.04 eV. This value of Γ is within the range predicted by other groups for similar molecules. ^{60,61,66-68} With the final parameter in the model fixed, we can now use our many-body transport theory to predict the linear and nonlinear responses of this molecular junction.

The transmission probability $T(E)|_{E=\mu}$ of the BDT junction is shown as a function of $\mu-\mu_{\rm Au}$ in the upper panel of Fig. 7. This is the linear-response conductance in units of the conductance quantum $G_0=2e^2/h$. The chemical potential μ is related to the gate voltage V_g in a three-terminal junction via the gate capacitance C_g . The transmission spectrum shown in Fig. 7 exhibits several striking features: a large but irregular peak spacing with an increased HOMO-LUMO gap, nonsymmetric, Fano-like resonance line shapes, and transmission nodes due to the destructive interference in the coherent quantum transport.

B. Nonlinear junction transport

We next calculate the differential conductance $\partial I/\partial V_{\rm bias}$ of the BDT-Au junction as a function of μ and $V_{\rm bias}$ (see Fig. 7, lower panel). The current was calculated in the elastic cotunneling approximation using Eqs. (28) and (29). This approximation accurately describes nonresonant transport, including the transmission nodes, as well as the positions and heights of the transmission resonances, as discussed in Sec. II D 2. The differential conductance spectrum of the junction exhibits clear signatures of excited-state transport^{32,79} and an irregular molecular diamond structure analogous to the regular Coulomb diamonds observed in quantum dot transport experiments.³² The charge on the molecule is quantized within the central diamonds of Fig. 7, an important interaction effect inaccessible to the so-called ab initio mean-field calculations. In Fig. 7, the full spectrum is shown for completeness, although the junction may not be stable over the entire range of bias and gate voltages shown.

Apart from the central HOMO-LUMO gap, the widths of the diamonds in Fig. 7 can be roughly explained via a capacitive model in which the molecule is characterized by a single capacitance $C_{\rm mol} = e^2/\langle U_{nm}\rangle$, where $\langle U_{nm}\rangle = 5.11\,$ eV is the average over all molecular sites. The HOMO-LUMO gap of ~10 eV is significantly larger than this estimate of the charging energy, an indication of the significant deviations of our theory from a simple constant interaction model.

Charge quantization, also known as *Coulomb blockade*, has been observed in several different types of molecular heterojunctions^{80–84} but has not yet been observed in BDT junctions due to the difficulty of gating such small molecules.⁸⁵ The unambiguous observation of Coulomb blockade in junctions involving larger molecules (with *smaller* charging energies) indicates that such interaction effects, lying outside the scope of mean-field approaches, are

undoubtedly even more pronounced in small molecules such as BDT. Important aspects of this phenomenon remain to be understood in larger molecules such as the anomalously low reported values of the charging energy. 80–84

A zero-bias cross section of the bottom panel of Fig. 7 reproduces the transmission spectrum shown in the top panel of the same figure. Increasing the bias voltage, we find that the resonances split into negatively sloped particlelike $(|e|dV/d\mu = -(C_1 + C_2 + C_g)/C_1)$ lines and positively sloped holelike $(|e|dV/d\mu = +(C_1 + C_2 + C_p)/C_2)$ lines, where C_1 and C_2 are the mean lead-molecule capacitances defined by C_{α} $=\langle C_{n\alpha}\rangle$ and $\alpha=1,2$. In the symmetric coupling case (C_1) $=C_2$ with $C_g/C_1 \ll 1$) the lines therefore have slopes of -2and +2 for particlelike and holelike lines, respectively. Within the V-shaped outline traced by the particlelike and holelike lines, we find signatures of resonant tunneling through electronic excited states in the many narrow nearly parallel resonance lines. While transport through electronic excited states has not yet been unambiguously identified in single-molecule heterojunctions, it has been observed in quantum dots^{32,79} and carbon nanotubes.⁸⁶

An accurate description of the HOMO-LUMO gap is essential for a quantitative theory of transport in molecular heterojunctions. The central HOMO-LUMO gap shown in Fig. 7 is significantly larger than that predicted by density functional theory—which neglects charge-quantization effects—but is consistent with previous many-body calculations in the sequential-tunneling regime. 15,18,19 It should be emphasized that the transport gap $\Delta\mu_{\mathrm{tr}}$ in a molecular junction exceeds the optical gap $\hbar\omega_{\min}$ of an isolated molecule. Roughly speaking, $\Delta \mu_{\rm tr} \simeq \hbar \omega_{\rm min} + e^2 / C_{\rm mol} + E_x$, $e^2/C_{\rm mol}$ is the charging energy (see discussion above) and $-E_x$ is the exciton binding energy. Excitonic states of the BDT-Au junction can be identified in the differentialconductance spectrum of Fig. 8 as the lowest-energy (i.e., smallest bias) excitations outside the central diamond of the HOMO-LUMO gap, from which it is apparent that $\Delta \mu_{tr}$ $\approx 2\hbar\omega_{\rm min}$ for a BDT-Au junction.

V. CONCLUSIONS

In conclusion, we have developed a many-body theory of electron transport in single-molecule heterojunctions that treats coherent quantum effects and Coulomb interactions on an equal footing. As a first application of our theory, we have investigated the thermoelectric power and differential conductance of a prototypical single-molecule junction, benzenedithiol with gold electrodes.

Our results reproduce the key features of both the coherent and Coulomb blockade transport regimes; quantum interference effects, such as the transmission nodes predicted within mean-field theory, 6,65,87 are confirmed while the differential conductance spectrum exhibits characteristic charge-quantization "diamonds" 80–84—an effect outside the

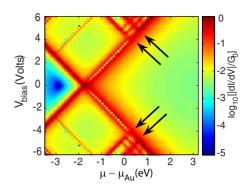


FIG. 8. (Color online) Differential conductance spectrum of a benzene(1,4)dithiol-Au junction (same parameters as in Fig. 7) focusing on the vicinity of the HOMO resonance. The arrows indicate features corresponding to resonant tunneling through excitonic states of the junction.

scope of mean-field approaches based on density-functional theory. The HOMO-LUMO transport gap obtained is consistent with previous many-body treatments in the sequential-tunneling limit. 15,18,19

The central object of the many-body theory is the Coulomb self-energy Σ_C of the junction, which may be expressed as $\Sigma_C = \Sigma_C^{(0)} + \Delta \Sigma_C$, where $\Sigma_C^{(0)}$ is the result in the sequential-tunneling limit and $\Delta \Sigma_C$ is the correction due to a finite tunneling width Γ . In this paper, we have evaluated $\Sigma_C^{(0)}$ exactly, thereby including intramolecular correlations at a non-perturbative level, while the direct and exchange contributions to $\Delta \Sigma_C$ were evaluated self-consistently using a conserving approximation based on diagrammatic perturbation theory on the Keldysh contour. An important feature of our theory is that this approximation for $\Delta \Sigma_C$ can be systematically improved by including additional processes diagrammatically. In this way, important effects such as dynamical screening, spin-flip scattering, 46 and electron-phonon coupling $^{27,47-51}$ can be included as natural extensions of the theory.

Our general theory of molecular junction transport should be contrasted to results for transport in the Anderson model. 46,88 The Anderson model provides important insight into nonperturbative interaction effects in quantum transport through nanostructures; however, the internal structure of the molecule is neglected. Moreover, since it is limited to a single spin-degenerate level, it can only describe a single Coulomb diamond with an odd number of electrons on the molecule and is therefore not applicable to transport within the HOMO-LUMO gap of conjugated organic molecules, where the number of electrons is even (more precisely, the HOMO-LUMO gap is taken to be infinite in the Anderson model).

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- $= \sum_{\sigma,\sigma'} \sum_{i,j,k,\ell} V_{ijk\ell} a^{\dagger}_{i\sigma} a_{j\sigma} a^{\dagger}_{k\sigma'} a_{\ell\sigma'}, \quad \text{where} \quad V_{ijk\ell} \equiv \sum_{n,m} U_{nm} A^*_{ni} A_{nj} A^*_{mk} A_{m\ell}. \ H^{(2)}_{mol} \text{ also takes the above form in a localized but nonorthogonal basis.}$
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